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NOIDA INSTITUTE OF ENGINEERING AND TECHNOLOGY, GREATER NOIDA

(An Autonomous Institute Affiliated to AKTU, Lucknow)

MBA

SEM: III - THEORY EXAMINATION (2024- 2025)

Subject: Corporate Governance and Ethics

Time: 3 Hours

Max. Marks: 100

**General Instructions:***IMP: Verify that you have received the question paper with the correct course, code, branch etc.**1. This Question paper comprises of three Sections -A, B, & C. It consists of Multiple Choice Questions (MCQ's) & Subjective type questions.**2. Maximum marks for each question are indicated on right -hand side of each question.**3. Illustrate your answers with neat sketches wherever necessary.**4. Assume suitable data if necessary.**5. Preferably, write the answers in sequential order.**6. No sheet should be left blank. Any written material after a blank sheet will not be evaluated/checked.***SECTION-A**

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**1. Attempt all parts:-**

- 1-a. The 4P's of Corporate Governance are: (CO1,K1) 1
- (a) Product, Price, Place, Promotion
  - (b) People, Process, Performance, Purpose
  - (c) People, Process, Place, Promotion
  - (d) Product, Price, Performance, Purpose
- 1-b. The percentage of CSR mandatory for the listed public companies is: (CO1, K1) 1
- (a) 4
  - (b) 3
  - (c) 2
  - (d) 5
- 1-c. Primary responsibility for preventing fraud in an organization is on: (CO2, K1) 1
- (a) Audit Committee
  - (b) Remuneration Committee
  - (c) Shareholders Committee
  - (d) CSR Committee
- 1-d. Negative report is made when auditor is: (CO2, K1) 1
- (a) Unable to form overall opinion on Financial Statement
  - (b) Not agree with affirmation made by the management in the books.
  - (c) Satisfied with the fairness of Balance Sheet and Profit and Loss account.

- (d) All of the above.
- 1-e. Ethics deal with the standard of: (CO3, K2) 1
- (a) beauty
  - (b) truth
  - (c) goodness
  - (d) fact
- 1-f. Professional ethics refers to what people who are engaged in a certain occupation should abide by in the process of work and labour, and are closely related to their professional activities. can be seen as: (CO3, K2) 1
- (a) Moral Code
  - (b) Moral Principles
  - (c) Sum of Moral Principles and Norms
  - (d) Moral Responsibility
- 1-g. Indian culture is spiritual and \_\_\_\_\_looking culture: (CO4, K2) 1
- (a) Outward
  - (b) Inward
  - (c) Regional
  - (d) Global
- 1-h. By taking a holistic and \_\_\_\_\_ approach the Indian ethos sees a person in his totality with the various roles that he plays: (CO4, K2) 1
- (a) Dvait
  - (b) Duality
  - (c) Advaitic
  - (d) Bhautic
- 1-i. Out of the following it is not one of the underlying principles of the corporate Governance combined code of practice: (CO5, K2) 1
- (a) Accountability
  - (b) Openness
  - (c) Acceptability
  - (d) Integrity
- 1-j. A high-commitment approach to environmental issues may include all the following except: (CO5, K2) 1
- (a) risk analysis
  - (b) stakeholder analysis
  - (c) green washing
  - (d) strategic sustainability auditing

2. Attempt all parts:-

- 2.a. Briefly mention the responsibilities of shareholders in making a good corporate governance structure. (CO1, K2) 2

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|------|---|---|
| 2.b. | List down the requirements for becoming a member for the audit committee. (CO2, K1) | 2 |
| 2.c. | Define organisational ethics. (CO3, K1)   | 2 |
| 2.d. | Enumerate any two examples of ethical decision making in a company. (CO4, K1)       | 2 |
| 2.e. | State the 4 elements of institutionalization. (CO5, K1)                             | 2 |

### **SECTION-B**

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3. Answer any five of the following:-

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|------|---|---|
| 3-a. | "Performance review of directors is very important". Elaborate. (CO1, K2)   | 6 |
| 3-b. | Explain the role of corporate governance in the financial sector. Illustrate suitably. (CO1, K2)                              | 6 |
| 3-c. | Explain the issues and challenges in corporate governance in India. (CO2, K2)   | 6 |
| 3-d. | Discuss an appropriate tenure and term of service for members of the board of directors. (CO2, K2)                            | 6 |
| 3.e. | Explain the advantages of values and ethics in modern business. How these values can be inculcated among employees. (CO3, K3) | 6 |
| 3.f. | Discuss holistic development. If you have to measure the development of an organization, how will you proceed? (CO4, K5)      | 6 |
| 3.g. | Explain the stages of institutionalization in detail. (CO5, K1)   | 6 |

### **SECTION-C**

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4. Answer any one of the following:-

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|------|---|----|
| 4-a. | Explain the various ways by which frauds can be prevented in a company. Provide any two examples. (CO1, K2)                     | 10 |
| 4-b. | According to you discuss the most critical ethical issues in today's business and create a plan to cope up with this. (CO1, K6) | 10 |

5. Answer any one of the following:-

- |      |   |    |
|------|---|----|
| 5-a. | Examine the role of the Board of Directors in maintaining internal control. (CO2, K4) | 10 |
| 5-b. | Discuss how companies can evaluate the board's effectiveness? (CO2, K5)               | 10 |

6. Answer any one of the following:-

- |      |   |    |
|------|---|----|
| 6-a. | "Ethics can contribute to customer satisfaction and profit of the company". Comment. (CO3, K2)  | 10 |
| 6-b. | Explain the process of developing code of conduct and company values. Create a plan for the same considering yourself as a senior level manager in a company. (CO3, K6) | 10 |

7. Answer any one of the following:-

- |      |   |    |
|------|---|----|
| 7-a. | In these times of deceit and fraud are ethics still relevant for the business world. Discuss. (CO4, K2) | 10 |
| 7-b. | Explain the relevance of teachings of Shrimadbhagvat Geeta in modern management methods. (CO4, K2)      | 10 |

8. Answer any one of the following:-

- 8-a. An international soft drink company has a signature soft drink that it sells all over the world. In India, the version of the soft drink complies with Indian food and health regulations, but is less healthy than the drink sold in the European market where the law is stricter. The soft drink company is obeying the law in India, but it is selling an inferior, less healthy product in a developing country. Discuss the issues of integrity, ethics and law posed in the case study. Explain your opinion regarding the situation and what action should government take regarding the company. (CO5, K3) 10
- 8-b. "Insider trading is one of the most dangerous unethical issues for a company" Comment. (CO5, K2) 10

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