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NOIDA INSTITUTE OF ENGINEERING AND TECHNOLOGY, GREATER NOIDA

(An Autonomous Institute Affiliated to AKTU, Lucknow)

MBA

SEM: III - THEORY EXAMINATION (2024 - 2025)

Subject: Indian Financial Market and Institutions

Time: 3 Hours

Max. Marks: 100

General Instructions:

IMP: Verify that you have received the question paper with the correct course, code, branch etc.

1. This Question paper comprises of three Sections -A, B, & C. It consists of Multiple Choice Questions (MCQ's) & Subjective type questions.

2. Maximum marks for each question are indicated on right -hand side of each question.

3. Illustrate your answers with neat sketches wherever necessary.

4. Assume suitable data if necessary.

5. Preferably, write the answers in sequential order.

6. No sheet should be left blank. Any written material after a blank sheet will not be evaluated/checked.

SECTION-A

20

1. Attempt all parts:-

- 1-a. Financial markets have the basic function of _____.(CO1,K2) 1
- (a) bringing together people with funds to lend and people who want to borrow funds.
 - (b) assuring that the swings in the business cycle are less pronounced.
 - (c) assuring that governments need never resort to printing money.
 - (d) All of the above
- 1-b. The following instruments are traded in a capital market_____.(CO1,K1) 1
- (a) Corporate bonds
 - (b) U.S. Treasury bills
 - (c) Banker's acceptances
 - (d) Repurchase agreements
- 1-c. The following is not the fund-based activity of commercial banks.(CO2,K1) 1
- (a) Overdraft
 - (b) Acceptance of deposit
 - (c) Issuance of Letter of Credit
 - (d) RTGS
- 1-d. The main function of NABARD is_____.(CO2,K2) 1
- (a) Granting crops
 - (b) Future Research
 - (c) Rural Employment

(d) Facilitating credit flow and agricultural development

1-e. The Chairman of the Securities and Exchange Board of India is appointed by the _____.(CO3,K1) 1

(a) Ministry of Finance

(b) RBI

(c) Stock Exchange

(d) None of the above

1-f. Function of financial market is _____.(CO3,K2) 1

(a) Mobilisation of Saving

(b) Price Fixation

(c) Providing Liquidity

(d) All of these

1-g. The goal of the Grameen Model is _____.(CO4,K2) 1

(a) Overall development of the rural economy

(b) Development of financially backward classes

(c) Widely adopted in India in the form of Regional Rural Banks

(d) All of above

1-h. MNERGA is launched for _____.(CO4,K1) 1

(a) Agriculture Development

(b) Wage Employment for Urban Household

(c) Vendors in the small markets

(d) Wage Employment for Rural Household

1-i. The current ratio measures the _____ position of an insurance policy.(CO5,K3) 1

(a) Solvency

(b) Liquidity

(c) Profitability

(d) Activity

1-j. A person who gains or benefits as per a contract is known as _____.(CO5,K2) 1

(a) Beneficiary

(b) Annuitant

(c) Assurer

(d) None

2. Attempt all parts:-

2.a. Differentiate between Angel Broker and Investment Banker.(CO1,K4) 2

2.b. Describe about the BHIM application.(CO2,K2) 2

2.c. Define Zero Coupon bonds. (CO3,K1) 2

2.d. Discuss two main objectives of microfinance.(CO4,K3) 2

2.e.	Explain General insurance with an example.(CO5,K2)	2
SECTION-B		30
3. Answer any <u>five</u> of the following:-		
3-a.	Explain the various types of financial assets.(CO1,K2)	6
3-b.	Discuss Liberalization Theory.(CO1,K3)	6
3-c.	Explain open market operation and repurchase agreement.(CO2,K3)	6
3-d.	Explain the major services provided by commercial banks.(CO2,K2)	6
3.e.	Differentiate between functions of Merchant banker and Bankers bank.(CO3,K4)	6
3.f.	Write a note on the policy of NABARD towards rural development.(CO4)	6
3.g.	Differentiate between Money Back Plan and Endowment Plan.(CO5)	6
SECTION-C		50
4. Answer any <u>one</u> of the following:-		
4-a.	Elaborate the following: (a) The stock exchange in India; and (b) The Regulations of stock exchanges. (CO1,K2)	10
4-b.	Discuss various advantages of Financial Regulation theory.(CO1,K3)	10
5. Answer any <u>one</u> of the following:-		
5-a.	Critically examine the theoretical basis of the monetary policy of RBI.(CO2,K4)	10
5-b.	“Reserve Bank of India acts as a promoter of the financial system”. Justify.(CO2,K4)	10
6. Answer any <u>one</u> of the following:-		
6-a.	Explain the major functions of the Indian money market.(CO3,K2)	10
6-b.	Discuss different methods of issuing fresh capital in the Indian share market.(CO3,K3)	10
7. Answer any <u>one</u> of the following:-		
7-a.	Explain the code of conduct of microfinance institutions.(CO4,K2)	10
7-b.	Justify, "Micro-credit companies assist in providing the platform for needed people to work in rural areas." (CO4,K4)	10
8. Answer any <u>one</u> of the following:-		
8-a.	Explain the concept of liability insurance and describe the many types of liability insurance.(CO5,K3)	10
8-b.	Critically evaluate the role and functions of IRDA in the insurance sector. (CO5,K4)	10